

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
19 September 2002 (19.09.2002)

PCT

(10) International Publication Number
WO 02/072124 A1

(51) International Patent Classification⁷: **A61K 35/78**

(21) International Application Number: PCT/KR02/00421

(22) International Filing Date: 12 March 2002 (12.03.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
2001/12840 13 March 2001 (13.03.2001) KR
2001/50670 22 August 2001 (22.08.2001) KR

(71) Applicant and

(72) Inventor: **KIM, Sung-Jin** [KR/KR]; 104/2003 Hansin Apartment, 60, Chongryangri 1-dong, Dongdaemun-ku, Seoul 130-775 (KR).

(74) Agent: **SUH, Jong Wan**; 7th. Fl., New-Seoul Bldg. 828-8, Yeoksam-dong, Kangnam-ku, Seoul 135-080 (KR).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

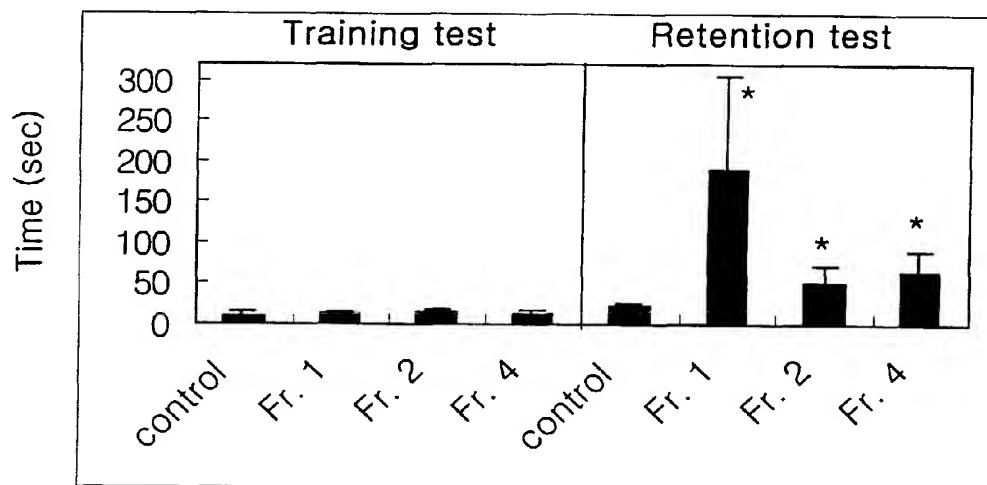
Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: COMPOSITION CONTAINING ASIASARI RADIX EXTRACTS FOR PROTECTING BRAIN CELLS AND IMPROVING MEMORY

Passive Avoidance Test



(57) Abstract: The present invention discloses that fractions of Asiasari Radix extract have the ability to induce neuroprotection against AMPA (α -amino-3-hydroxy-5-methyl-4-isoxazole propionic acid)-induced damages in brain cells as well as stimulation of memory.



WO 02/072124 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

COMPOSITION CONTAINING ASIASARI RADIX EXTRACTS FOR PROTECTING BRAIN CELLS AND IMPROVING MEMORY

Technical Field

5 This invention is related to a composition for protecting brain cells and improving memory comprising Asiasari Radix extracts.

Background Art

One of the major factors involved in brain cell damages is glutamate. Glutamate
10 exerts its actions by binding to four types of receptors including NMDA receptor, AMPA receptor, Kainate receptor and 1S,3R-ACPD receptor [Craig CR, Stitzel RE, Modern Pharmacology with clinical applications, 293-302, 1997]. When brain ischemia occurs, oxygen supply to brain cells diminishes and then anaerobic glycolysis increases. Therefore, ATP content in the cell decreases and the concentration of
15 extracellular potassium ions increases. Eventually, depolarization of neuronal cell membrane and subsequent release of excitatory amino acids occurs which results in the neuronal damages by the activation of receptors for NMDA, AMPA and Kainate. Excitotoxicity via excitatory neurotransmitters induces cellular stress and thereby plays an important role in the pathogenesis of neurodegenerative disorders such as
20 Alzheimer's disease, Parkinson disease, strokes and amyotrophic lateral sclerosis [Haloween, B., J. Neurochem. 59, 1609-1623, 1992; Coyle, J. T. and Puttfarcken, P., Science 262, 689-695. 1993; Olanow, C. W., Trends. Neurosci. 16, 439-444, 1993].

Neurodegenerative disorders in the central nervous system are often accompanied by a decrease in cognition and memory. Especially, dementia is a serious problem of

modern societies with high population of elderly. Dementia is typically caused by a variety of environmental factors such as genetics, aging, brain damage, smoking and alcohol and other complex factors. The hippocampus of patients suffering from dementia is heavily damaged and this is closely related to the reduction of acetylcholine levels in the brain.

Acetylcholinesterase inhibitors are clinically used for the Alzheimer's disease to increase acetylcholine levels. Recently, a great number of researches have been performed to search for ways of neuroprotection such as by using NMDA antagonist, AMPA antagonist, GABA agonist, intracellular calcium decreasing agent, nitric oxide inhibitor, free radical scavenger, Na channel blocker, inhibitor of glutamate release, acidosis, hypothermia and potassium channel activator [Gagliardi RJ, Neuroprotection, excitatotoxicity and NMDA antagonists, Arq. Neuro-Psiquiatr. 58, 2000].

Dozocylpin (MK 801), selfotel, cerestat, and dextrometorfan have been developed as NMDA antagonists. However, they are known to induce altered sensory perception, dysphoria, nystagmus, and hypotension at low doses, while inducing psychological adverse events such as excitement, paranoia, and hallucination at higher doses. Furthermore, NBQX was developed as an AMPA antagonist. However, it causes severe kidney toxicity and is thus not optimal in clinical applications. Therefore, neuroprotective agents without toxicity from natural product-derived materials need to be developed.

Recently, it has been found that AMPA receptor plays an important role in the development of Alzheimer's disease. The fact that neuronal cell damages by the AMPA receptor activation occurs selectively at the basal forebrain cholinergic neurons (BFCNs) suggests that development of anti-Alzheimer's disease can be tried by using

AMPA receptor antagonists [Weiss, J. H., et al., Basal forebrain cholinergic neurons are selectively vulnerable to AMPA/kainate receptor-mediated neurotoxicity. *Neuroscience* 60, 659-664, 1994].

Glial cells are essential for the survival of neuronal cells. In developing the
5 central nervous system, glial cells control the precise movement and growth of neuronal cells whereas they play a role in homeostasis and synaptic plasticity of neuronal cells after development. In addition, glial cells contain receptors and neurotransmitters capable of initiating neuronal signal transduction essential for survival and apoptosis of neuronal cells. Thus, protecting glial cells from external damages are eventually related
10 to the plasticity, homeostasis and survival of neuronal cells.

Insulin receptor in the peripheral tissues participates mainly in the glucose metabolism whereas its role in the CNS appeared not to be related to glucose metabolism but other neuronal activity such as memory. In fact, insulin receptor is widely distributed in different areas in the brain and present in a large amount in the
15 hippocampus. Hence, the hippocampus is an important target of insulin action in the brain. Recently, much evidence has been presented as to the role of brain insulin or insulin receptors in the memory formation. It has been found that both experimental damage to the neuronal insulin receptor and Alzheimer brain induced similar metabolic abnormalities [Hoyer, S., Muller, D, Plaschke, K. Desensitization of brain insulin
20 receptor. Effect on glucose/energy and related metabolism. *J. Neural Transm [Suppl]* 44, 259-268, 1994].

Interesting hypothesis has been proposed that sporadic Alzheimer disease might be the brain type of non-insulin dependent diabetes mellitus (Hoyer, S. Is sporadic Alzheimer disease the brain type of non-insulin dependent diabetes mellitus? A

challenging hypothesis. *J. Neural Transm.* 105, 415-422, 1998). It has been suggested that intracerebroventricular insulin enhances memory in a passive-avoidance task [Park, C. P., Seeley, R. J., Craft, S. and Woods S. C. (2000) Intracerebroventricular insulin enhances memory in a passive avoidance task. *Physiol. Behav.* 68, 509-514]. Insulin receptor density and tyrosine kinase activity in the sporadic Alzheimer's disease (SDAT) was known to be significantly decreased [Frolich, L., Blum-degen, D., Bernstein, H. G., Engelsberger, S., Humrich, J., Laufer, S., Muschner, D., Thalheimer, A., Turk, A., Hoyer, S., Zochling, R., Boissl, K. W., Jellinger, K., and Piederer, P. Brain insulin and insulin receptors in aging and sporadic Alzheimer's disease. *J. Neural Transm.* 105, 423-438, 1998]. Interestingly, tyrosine phosphorylation of the hippocampal insulin receptor has been shown to play an essential role in spatial memory formation [Zhao, W., Chen, H., Xu, H., Moore, E., Meiri, N., Quon, M. J., Alkon, D. L. (1999) Brain insulin receptors and spatial memory. *J. Biol. Chem.* 274, 34893-34902, 1999]. Taken together, insulin receptor activators could be used for memory enhancement in addition to cholinesterase inhibitors.

Recently, it has been found that ERK (Extracellular signal-Regulated Kinase or MAPK) I and II, which are important downstream signaling mediators of the insulin receptor, are implicated in memory and learning [Thiels, E, Klann, E. Extracellular signal-regulated kinase, synaptic plasticity, and memory. *Rev. Neurosci.* 12, 327-345, 2001; Sweatt J. D. The neuronal MAP kinase cascade: a biochemical signal integration system subserving synaptic plasticity and memory. *J. Neurochem.* 76, 1-10, 2001]. It has been also demonstrated that rats subjected to avoidance learning showed significant and specific increases in the activated forms of ERK I and II in the rat hippocampus [Cammarota, M., Bevilaqua, L. R. M., Ardenghi, P., Paratcha, G., de Stein, M. L.,

Izquierdo, I., Medina, J. H. Learning-associated activation of nuclear MAPK, CREB and Elk-1, along with Fos production, in the rat hippocampus after a one-trial avoidance learning: abolition by NMDA receptor blockade. Mol. Brain Res. 76, 36-46, 2000].

Taken together, insulin receptor and ERK I/II activators could be used for
5 memory and learning enhancement in addition to cholinesterase inhibitors.

BRIEF DESCRIPTION OF DRAWINGS

These as well as other features of the present invention will become more apparent upon reference to the drawings wherein:

10 Fig. 1 shows fraction 1 of Asiasari Radix (AR) extract blocking the depolarization induced by AMPA in the rat cortical slices. Data are expressed as mean SD (n=5). *: p <0.05 with respect to the control;

Fig. 2 shows fraction 4 of AR extract blocking the depolarization induced by AMPA in the rat cortical slices. Data are expressed as mean SD (n=5). **: p < 0.01 with
15 respect to the control;

Fig. 3 shows fraction 1 of AR extract having inhibitory actions against neuronal cell damages induced by AMPA in the differentiated PC12 cells. Data are expressed as mean SD (n=5). ***: p <0.001 with respect to the control;

Fig. 4 shows the effects of fractions 2, 3, 4 and 5 of AR extract on neuronal cell
20 damages induced by AMPA in the differentiated PC12 cells. Data are expressed as mean SD (n=5). ***: p < 0.001 with respect to the control;

Fig. 5 shows fraction 1 of AR extract having inhibitory actions against cell damages induced by AMPA in the C6 glial cells. Data are expressed as mean SD (n=5). Bars not sharing a common letter are different at p < 0.05 by Duncan's multiple range

test;

Fig. 6 shows fractions 1, 2, 3, 4 and 5 of AR extract having inhibitory actions against glial cell damages induced by ZnCl_2 in the C6 glial cells. Data are expressed as mean SD (n=5). *: $p < 0.05$, ***: $p < 0.001$ with respect to the control;

5 Fig. 7 shows fraction 1 of AR extract having increased memory in the NaNO_2 assay. Data are expressed as mean SD (n=8). **: $p < 0.01$ with respect to the control;

Fig. 8 shows the effects of fractions 2, 3, 4 and 5 of AR extract on the memory in the NaNO_2 assay. Data are expressed as mean SD (n=8). *: $p < 0.05$, **: $p < 0.01$ with respect to the control;

10 Fig. 9 shows administration of fraction 2 of AR extract via P.O. causing a significant increase of memory in the NaNO_2 assay. Data are expressed as mean SD (n=8). *: $p < 0.05$ with respect to the control;

Fig. 10 shows the fractions 1, 2 and 4 of AR extract stimulating memory enhancement in the 8 radial arm maze assay. Data are expressed as mean SD (n=10). *: $p < 0.05$, **: $p < 0.01$ with respect to the control;

15

Fig. 11. shows fractions 1, 2 and 4 of AR extract stimulating memory enhancement in the passive avoidance test. Data are expressed as mean SD (n=10). *: $p < 0.05$ with respect to the control;

Fig. 12 shows fractions 1, 2 and 4 of AR extract stimulating tyrosine phosphorylation of rat hippocampal proteins.;

20

Fig. 13 shows tyrosine phosphorylation of insulin receptors by fractions 1, 2 and 4 of AR extract in rat hippocampus;

Fig. 14 shows fractions 1, 2 and 4 of AR extract activating ERK I and ERK II in rat hippocampus; and

Fig. 15 shows fractions 1, 2 and 4 of AR extract inhibiting cholinesterase activity in rat hippocampus.

Disclosure of Invention

5 The inventor of the present invention has studied for many years to search for neuroprotective agents with memory enhancing activity for the moderns who are being subjected to brain damages due to environmental factors such as various stress, alcohol, smoking etc. Based on the search of many natural products, it was found that Asiasari Radix extracts have significant neuroprotective effects as well as memory enhancing
10 activity.

In this respect, the present invention is related to a composition containing Asiasari Radix extracts for protecting brain cells and improving memory. A feature of the composition of the present invention is that it contains 0.5 to 50% by weight of Asiasari radix extracts per total weight of the composition.

15 Asiasari Radix is the dried wholeplant, rhizome or root of *Asarum sieboldii* F. MAEKAWA, *Asarum sieboldii* var. *seoulense* Nakai, *Asarum sieboldii* Miq., *Asarum heterotropoides* Schmidt, *Asarum heterotropoides* var. *mandshuricum* Kitagawa, or *Asiasarum heterotropoides* F. MAEKAWA var. *seoulensis* F. MAEKAWA. It is a perennial plant. Its rhizome projecting aside is relatively short and it contains a lot of
20 knobs and fine roots with a diameter of approximately 1 mm. It is shaped like an uneven and crooked string. It has a knotty rhizome with a diameter of 3-5 mm and is yellowish brown. The rhizome contains a lot of roots with 5-20 cm in length. It has a light brown color and is long and slender. It has very shallow vertical pleats.

It contains essential oils such as methyleugenol, asarylketone, cineol, saffrole,

limonen, eucarvone; acidamide such as N-isobutyl 2, 4, 8, 10-dodecatetraenamide, pellitorin; and lignans such as sesamin and (-) asarinin (Zhou RH, Resource Science of Chinese Medicinal Materials. pp.202-211. Beijing: China medical & Pharmaceutical Sciences press, 1993). Several alkaloids including hygenamine are also present in

5 Asiasari Radix. Extracts of Asiasari Radix have been reported to exert body temperature decreasing, spasmolytic, antihistamine and cardiogenic actions. It is used as local anesthetics, analgesia, fever remedy, cough remedy, expectorant and diuresis. (Zhu, Y. Chinese Materia Medica: Chemistry, Pharmacology and Application, pp. 66-69. Beijing: People's Health Publisher, 1998). However, it is not known as to whether

10 Asiasari Radix extract has any effects on neuroprotection and memory.

Asiasari Radix extracts of the present invention are obtained by extracting Asiasari Radix with a lower alcohol having 1 carbon atom to 4 carbon atoms such as methanol or ethanol, or organic solvent such as acetone, chloroform, methylenechloride, ether or ethylacetate or combinations of the lower alcohol and the organic solvent at 5 °C

15 to 80 °C, desirably 30 °C to 55 °C for 15 min to 48hrs, desirably 30 min to 12 hrs. The obtained extracts can be made as powders by evaporation under reduced pressure.

In addition, the Asiasari Radix extracts of the present invention could be further fractionated by the following method (Harborne J. B. Phytochemical methods: A guide to modern techniques of plant analysis. 3rd Edt. pp 6-7, 1998).

20 The Asiasari Radix extracts of the present invention are prepared by the following sequential extraction and fractionation procedure:

the extracts of Asiasari Radix obtained by the above mentioned method are dissolved in a methanol:water mixed solvent, adjusted to pH 2-4 and followed by extraction with equal volume of chloroform;

the chloroform-insoluble fraction is adjusted to pH 9-12 with NH_4OH and subjected to extraction with equal volume of a chloroform:methanol mixed solvent; and

among these, a fraction insoluble in the chloroform:methanol mixed solvent is subsequently subjected to extraction with methanol to obtain the Asiasari Radix extracts.

5 Desirable ratios of chloroform:methanol mixture solvent are in the ranges of 1: 0.1~1. When the chloroform-insoluble fractions described above are further fractionated with chloroform:methanol, the fraction soluble in the chloroform:methanol mixed solvent contains most alkaloids while the fraction insoluble in the chloroform:methanol mixture solvent contains quaternary alkaloids and N-oxides.

10 The composition comprising Asiasari Radix extracts could contain suitable carriers, excipients and diluents such as lactose, dextrose, sucrose, sorbitol, mannitol, xylitol, erythritol, maltitol, starch, acacia gum, alginate, gelatin, calcium, phosphate, calcium silicate, cellulose, methyl cellulose, microcrystalline cellulose, polyvinyl pyrrolidone, water, methylhydroxy-benzoate, propylhydroxybenzoate, talc, magnesium
15 stearate or mineral oil.

The composition according to the present invention can be used as oral formulations such as powders, tablets, capsules, suspensions, emulsions, syrups, aerosol; external application; and suppositories. In addition, it could be used as sterilized injections. The Asiasari Radix extracts in the present invention can be
20 administered at the dosage of 0.1 mg/kg to 500 mg/kg per day. The dosage can be administered once or it can be administered as divided. However, the actual dose administered should be understood in terms of various related factors such as kind of diseases to treat, administration route, age of patient, gender and weight, and the condition of disease, etc. Therefore, the above-mentioned dosage does not limit the

range of the present invention by any ways.

The composition comprising Asiasari Radix extracts in the present invention can be widely used as drugs, foods and drinks for neuroprotection and memory improvement. Asiasari Radix extracts can be added to foods such as various foods,
5 drinks, gum, tea, vitamin complexes and health foods etc.

In addition, the present invention is related to a composition for improving memory containing a chloroform fraction of Asiasari Radix extracts obtained by the following sequential fractionation procedure:

10 Asiasari Radix is subjected to extraction with a lower alcohol having between 1 carbon atom and 4 carbon atoms such as methanol or ethanol, or organic solvent such as acetone, chloroform, methylene chloride, ether or ethylacetate; and

the resulting Asiasari Radix extracts are solubilized in a methanol:water mixed solvent, adjusted to pH 2-4 with acid and subjected to extraction with equal volume of
15 chloroform to obtain the chloroform fraction of Asiasari Radix extracts.

Desirable ratios of the methanol:water mixed solvent are in the ranges of 1: 0.2~1.5 and this fraction contains terpenoids and phenolic compounds.

The composition for improving memory of the present invention has a feature that the content of the fraction described above ranges 0.5 to 50 % by weight per total
20 weight of the composition.

The composition for improving memory of the present invention could contain suitable carriers, excipients and diluents as same as the composition containing Asiasari Radix extracts for protecting brain cells and improving memory as described above. In addition, it could be made as various formulations according to conventional methods

and be widely used as drugs, foods, and drinks for improving memory.

Additional modifications and improvements of the present invention may also be apparent to those of ordinary skill in the art. Thus, the particular combination of parts described and illustrated herein is intended to represent only certain embodiments
5 of the present invention, and is not intended to serve as limitations of alternative devices within the spirit and scope of the invention.

Best Mode for Carrying Out the Invention

The present invention is explained in detail by the following examples, but it is
10 not limited to these examples.

Example: Preparation of Asiasari Radix extracts

Asiasari Radix (250 g) was cut into small pieces and extracted with 70% methanol (750 ml) by the use of Soxhlet apparatus for 3 hours three times. Following filtration, the resulting methanol extract was concentrated by rotary evaporator and
15 dried by freeze-dryer (Fr. 1). For fractionation with other organic solvents, 10g of Fr. 1 was resuspended with 200 ml MeOH-H₂O (4:1), which was then adjusted to pH 3 with 2M H₂SO₄. Then, it was extracted with equal volume of CHCl₃ three times and concentrated using a rotary evaporator, followed by freeze-dried (Fr. 2).

The water-soluble and CHCl₃ insoluble fraction was adjusted to pH 10 with
20 NH₄OH and subjected to extraction with equal volume of CHCl₃-MeOH (3:1) two times. The CHCl₃-MeOH (3:1) soluble fraction was concentrated and freeze dried (Fr. 3). The water-soluble and CHCl₃-MeOH (3:1) insoluble fraction was mixed with equal volume of MeOH and subjected to extraction three times. The MeOH soluble fraction was concentrated and freeze-dried (Fr. 4). The MeOH insoluble fraction was concentrated

and freeze-dried (Fr. 5).

Experiment 1. Grease-gap recording assay

1) Experimental methods

5 Cortical wedges from rats were prepared, placed in two-compartment brain bath and experiments were performed as described by Harrison and Simmonds (British J. Pharmacol. 84, 381-391, 1985). 'Wedges' of rat cerebral cortex were prepared from the brains of male Wistar rats (200 g). The animal is decapitated and the brain rapidly removed into chilled oxygenated (95% O₂ /5% CO₂) artificial cerebrospinal fluid
10 (ACSF) containing (mM): NaCl 122, NaHCO₃ 25, KCl 3.1, KH₂PO₄ 0.4, CaCl₂ 1.3, MgSO₄ 1.4, D-glucose 10, (pH 7.4). 500-600 µm coronal slices were taken and they were then placed in room temperature oxygenated ACSF and hemisected with a razor blade. A wedge of tissue was formed such that the dorsal cortical surface containing cerebral cortex and corpus callosum was approximately 1.5 mm wide and ventral
15 surface was approximately 1mm wide. The wedges were further incubated in ACSF for 2 hrs at room temperature.

Cortical wedges were placed in a two-compartment bath and a greased (high vacuum silicon grease) barrier placed such that a high-resistance seal was formed between the two compartments. Oxygenated ACSF was perfused through the two
20 compartments separately at 2 ml/min for at least 1 hr. Asiasari Radix (AR) extracts (fractions 1, 2, 3 or 4) were perfused 10 min before the application of AMPA (40 µM) for 2 min: AR extracts and AMPA were applied to the cortical compartment. The DC potential between the two compartments was monitored via Ag/AgCl electrodes. The signal was amplified and analyzed with the aid of McLab software.

2) Experimental results

Depolarization of neuronal cells by AMPA is regarded as an index of stimulation by damages of neuronal cells. AMPA treatment (40 μ M) for 2 min caused an increase in depolarization up to 0.79 mV. When AR extract (fraction 1) (10 μ g/ml) was preincubated for 10 min and followed by AMPA treatment for 2 min, AMPA-induced increase in the depolarization was significantly decreased (Fig. 1A). The average inhibitory effect by the fraction 1 of AR extract was about 54% as compared to the control (Fig. 1B). These results suggest that AR extract (fraction 1) has significant neuroprotective actions against AMPA-induced neuronal damages.

Among the fractions from methanol extract of *Asiasari Radix*, fraction 4 has dramatic inhibitory action against AMPA-induced depolarization: it was about 92% protection against AMPA-induced neuronal damages (Fig. 2). On the other hand, fractions 2 and 3 were ineffective in the inhibition against AMPA-induced depolarization (data not shown).

Since the fraction 4 contains components which are insoluble in chloroform as well as in chloroform:methanol, it is devoid of terpenoids, phenolic compounds and sesamin which are soluble in organic solvents such as chloroform. Thus, it is suggested that the neuroprotective effects of fraction 4 be brought about by components other than sesamin, terpenoid and phenolic compounds etc.

Experiment 2. Cell viability assay (MTT assay)

1) Experimental methods

MTT assay is a method to measure mitochondrial redox with colorimeter and it is primarily used to know mitochondrial redox potential or cell viability (Mosmann T., J

Immunol. Methods, 1983).

PC 12 cells and C6 glial cells were grown in DMEM media with 10% fetal calf serum in 5% humidified CO₂ atmosphere at 37°C. PC12 cells were induced to differentiation into neuronal cells by the addition of nerve growth factor (100 ng/ml).

5 Fresh NGF was added every 48 hr and experiments were conducted after NGF treatment for 7 days.

The cells were plated in 96 well plates at a density of 1×10^5 cells/well. Cells were preincubated with AMPA (40 μ M) and followed by the addition of AR fractions (10 g/ml) for 24 hrs. MTT reagent (Sigma, USA) (5 mg/ml) was made in PBS
10 (phosphate buffered saline) and filtered. The cells were then treated with MTT (final concentration, 0.5 mg/ml) and allowed to incubate for 3 hrs at 37°C. Cells containing active mitochondria form dark blue formazan by disintegrating tetrazolium ring. The culture media were removed and the cells were subjected to lysis in the presence of 100 μ l of DMSO and 10 μ l of Sorensen glycine buffer (0.1M glycine, 0.1M NaCl, pH 10.5).
15 The absorbance was measured with spectrophotometer at 570 nm. The ratio of absorbance of experimental groups to control groups is expressed as % cell viability.

2) Experimental results

In the differentiated neuronal PC12 cells, AMPA (40 μ M) caused approximately 50% reduction in the cell viability as compared to control whereas AR extract (fraction
20 1; 10 μ g/ml) pretreatment caused more than 90% recovery from the reduction of cell viability caused by AMPA (Fig. 3). When fractions 2, 3, 4 or 5 were tested for their protective actions on cell viability, fraction 4 protected the AMPA-induced neuronal damages in the differentiated PC12 cells almost to the control level, suggesting it has active principles responsible for neuroprotection (Fig. 4).

In C6 glial cells, AMPA caused approximately 35% reduction in the cell viability as compared to control whereas AR extract (fraction 1) pretreatment caused approximately 10% recovery from the reduction of cell viability caused by AMPA (Fig. 5). Since the original AR extract has relatively weaker protective effects against glial cell damages induced by AMPA, we tried to induce oxidative damages in the C6 glial cells with ZnCl_2 (100 μM) (Fig. 6). When fractions 1, 2, 3, 4 or 5 were pretreated, fraction 1 blocked the glial cell damages by 100% induced by Zn and fraction 4 inhibited the damages by 58% as compared to control.

Experiment 3. Memory assay

1. NaNO_2 assay

It has been suggested that there is close relationship between deficit of oxidative metabolism in the brain by NaNO_2 and cholinergic neurotransmission related to learning and memory. Therefore, the prolongation of survival time is regarded as an index of memory enhancement [Schindler et al., Drug Develop. Res. 4: 567-576, 1984].

1) Experimental methods

Asiasari radix extract (fraction 1; 100 mg/kg, i. p.) were administered to male mouse. After 60 min, NaNO_2 (250 mg/kg, s. c.) was injected and cessation of respiration was recorded.

The memory enhancing effects were analyzed by measuring and comparing with the prolongation of survival times of control and treated groups.

The subfractions (fraction 2, 3, 4, and 5) of fraction 1 were also administered to male mouse (100 mg/kg, i. p.) and tested for the NaNO_2 memory assay as described above.

2) Experimental results

The original methanol extract of Asiasari Radix (fraction 1) administration (100 mg/kg, i. p.) caused a 25% increase in the survival time as compared to control, indicating that it improves memory (Fig. 7). When fractions 2, 3, 4 and 5 were tested, fraction 4 caused about 25% increase in the survival time whereas fraction 2 caused the strongest memory enhancing effects (50% increase) among the fractions tested (Fig. 8). Subsequently, the fraction 2 was further tested as to whether oral administration could cause similar effects as i. p. administration. Fraction 2 was administered orally (10 mg/kg) and after 60 min NaNO₂ was administered. Administration of fraction 2 (10 mg/kg, p. o.) caused approximately 50% increase in the memory (Fig. 9).

2. 8 arm radial maze test

To further improve that the fractions 1, 2 and 4 have memory enhancing activity, the 8 arm radial arm maze test was carried out as described by Ikonen and Riekkinen [European J Pharmacol. 382, 151-156, 1999].

1) Experimental methods

Central part of the 8 arm radial maze measured 20 cm in diameter. Its arms are 25 cm long, 15 cm height, 6 cm wide. Briefly, two days of pre-training (5 min per day) allowed the mice to explore the baited radial arm maze. During the experimental phase, four of the arms were baited in a semi-random manner, with a unique combination of baited arms for each mouse. After each return to the center from an arm, the doors were closed for 5 sec. The training was continued until all the baits were consumed or 15 min had passed. The test involved two parameters of memory function: 1) reference memory error, entry into unbaited arms; 2) working memory error, repeated entry into arms that had been visited within a trial [Gamoh et al., Clinical and

experimental Pharmacology and Physiology 28, 266-270, 2001]. The fractions 1, 2 and 4 (10 mg/kg/day, P.O.) was administered once a day for five days and tested for the 8 arm radial maze.

2) Experimental results

5 The reference memory error was reduced by 38%, 50% and 50% as compared to the control in response to fractions 1, 2 and 4, respectively while working memory error was reduced by 48%, 63% and 74% as compared to the control in response to fractions 1, 2 and 4, respectively (Fig. 10). The time taken to acquire all baits was reduced by 55%, 61% and 58% as compared to control in response to fractions 1, 2 and 4,
10 respectively.

3. Passive Avoidance Test

1) Experimental methods

The test was basically performed according to the step-through method described by Jarvik and Kopp [Jarvik, M. E. and Kopp, R. An improved one-trial passive
15 avoidance learning situation. Psychol. Rep. 21, 221-224, 1967]. The Gemini Avoidance System (SD Instruments) was used for this experiment. The apparatus consists of a two-compartment acrylic box with a lightened compartment connected to a darkened one by an automatic guillotine door. Mice were placed in the lighted box for 300 sec. Then, the guillotine door was open. Mice, as soon as they entered the dark
20 compartment, received a punishing electrical shock (0.3 mA, 1 sec). The latency times for entering the dark compartment were measured in the training test and after 24 hr in the retention test. The maximum entry latency allowed in the retention session was 500 sec.

2) Experimental Results

There was no significant difference among the fractions of AR extract in the training session. In the retention session, however, fraction 1, fraction 2 or fraction 4 administration caused an increase in the step-through time by 8.1-fold, 2.2-fold and 2.7-fold, respectively as compared to the control (Fig. 11).

5

Experiment 4. Tyrosine phosphorylation of hippocampal proteins

1) Experimental Methods

1. Preparation of hippocampal lysate

Male Sprague Dawley rats were decapitated and subjected to the isolation of
10 hippocampus on 4°C. Hippocampal homogenates were prepared as described earlier
with some modifications [Zhao, W., Chen, H., Xu, H., Moore, E., Meiri, N., Quon, M.
J., Alkon, D. L., Insulin receptors and spatial memory. J. Biol. Chem. 274, 34893-34902,
1999]. The isolated hippocampus was resuspended with buffer A containing 50 mM
Tris HCl, pH 7.4, 1 mM EDTA, 1 mM EGTA, 150 mM NaCl, 1% Triton X-100, 0.5
15 mM PMSF, 1 mM Na₃VO₄, 1µg/ml of leupeptin and aprotinin and subjected to
homogenization with a Potter-Elvehjem homogenizer. The lysates were then spun at
10,000 x g for 10 min and the supernatant were subjected to protein assay and saved at
70°C.

2. Immunoprecipitation

20 Immunoprecipitation was performed as described earlier [Kim S. J., Kahn, C. R.
Insulin stimulates phosphorylation of c-Jun, c-Fos and Fos-related proteins in cultured
adipocytes. J. Biol. Chem. 269, 11887-11892, 1994]

Equal amount of proteins from hippocampal lysates were allowed to incubate
with insulin receptor antibody for 1 hr at 4°C, followed by the addition of Protein A-

Sepharose, and the immune complex was precipitated by centrifugation. The pellets were washed successively with 1 ml of buffer A (0.01M Tris, pH 7.4, 1M NaCl, 1% Nonidet P-40), buffer B (0.01M Tris, pH 7.4, 0.1M NaCl, 0.01M EDTA, 1% Nonidet P-40, 0.3% SDS) and buffer C (0.01M Tris, pH 7.4 and 1% Nonidet P-40). The final
5 pellets were solubilized with Laemmli buffer containing 100 mM dithiothreitol, boiled for 5 min, centrifuged in a microcentrifuge, and the supernatant was subjected to SDS-PAGE and Western blot analysis with anti-pTyr antibody.

3. Western blot analysis

Equal amount of hippocampal proteins were applied to SDS polyacrylamide gel.
10 Electrotransfer of proteins from the gels to nitrocellulose paper (Schleicher & Schuell) was carried out for 1 hr at 100 V (constant) as described by Towbin et al. [Towbin H., Staehelin, J., Gordon, J. Electric transfer of proteins from polyacrylamide gels to nitrocellulose sheets: procedure and some applications. Proc. Natl. Acad. Sci. USA 76, 4350-4354, 1979]. The filter papers were preincubated for 1 hr at 23 °C with PBS
15 containing 0.1% Tween 20 and 3% bovine serum albumin and washed with PBS containing 0.1% Tween 20 three times for 10 min each. The blots were probed with pTyr antibodies for 1 hr at 23 °C. The blots were then incubated with HRP-conjugated anti-rabbit IgG for 30 min and washed with PBS containing Tween 20 five times for 10 min each. The detection of immobilized specific antigens was carried out with ECL
20 (NEN).

3) Experimental results

Since it has been suggested that tyrosine phosphorylation of the insulin receptors in hippocampus play an important role in spatial memory, we have tested whether AR extracts have any effect on the tyrosine phosphorylation of hippocampal proteins.

Tyrosine phosphorylation of a number of proteins with molecular sizes of ~180 kDa, 130 kDa, 95 kDa, 55 kDa and 42 kDa (Fig. 12). We further tested whether insulin receptor was tyrosine phosphorylated by the AR extracts. Insulin receptor phosphorylation was not detected under the basal condition whereas it was significantly stimulated by fraction 1 and fraction 2: The effect of fraction 1 was higher than that of fraction 2 (Fig. 13). In addition, the fraction 1, 2 and 4 of AR extracts has significantly stimulated ERK1 (44 kDa) and ERK2 (42 kDa) (Fig. 14).

In addition, the fraction 1, 2 and 4 of AR extracts has significantly stimulated ERK1 (44kDa) and ERK2 (42kDa) (Fig. 14); these results suggest that the fractions play an important role in memory and learning enhancement since activation of ERK I and II are essential in memory and learning.

Experiment 5. Ex vivo Cholinesterase assay

1) Experimental methods

Male SD rats were dosed p.o. with vehicle or fractions of AR extract. The rats were decapitated after 90 min, brains rapidly removed, hippocampus and corpora striata dissected free, weighed and homogenized as described above. Cholinesterase activity was measured as described by Ellman et al [Ellman, G. L., Courtney, K. D., Andres, V., Featherstone, R. M. A new and rapid colorimetric determination of acetylcholinesterase activity. *Biochem. Pharmacol.* 7, 88-95.1961]. Briefly, 3 ml of buffer I (100 mM phosphate, pH 8.0), 0.2 ml of 75 mM acetylthiocholine iodide and 0.1 ml of buffered Ellman's reagent (DTNB 10 mM, NaHCO₃ 15 mM) were mixed and allowed to incubate for 10 min at 25 °C. Then, 20 µl of enzyme sample was added and absorbance was measured at 30 sec intervals. The percent inhibition was calculated by

comparison with the enzyme activity of the vehicle control group.

2) Experimental results

Considering that cholinesterase inhibition has been shown to improve cognitive functions, we tested whether AR extracts could have some inhibitory effects on hippocampal cholinesterase activity. Administration of fraction 1, 2 or 4 caused an inhibition of hippocampal cholinesterase activity by 39%, 24% or 12% as compared to control, respectively (Fig. 15).

Experiment 6. Oral Toxicity Test

1) Experimental methods

ICR mouse (20g) were housed for 1 week in a room with 50% relative humidity, 150-300 Lux, and controlled temperature (23 °C) under 12 h light / dark cycle with free access to standard certified rodent diet and tap water. 25 mice were divided into 5 groups.

The Asiasari Radix extract (fraction 1) was dissolved in 0.1% Tween 80 and administered orally to 5 groups of the mice at the dose of 100 mg/kg, 1,000 mg/kg, 3,000 mg/kg, 5,000 mg/kg or 10,000mg/kg, respectively. For the next 7 days, changes of general symptoms and death were observed. At day 7, all mice were killed and internal organs were examined.

2) Experimental results

Administration of the Asiasari radix extract did not cause any changes in general symptoms and the appearance of internal organs. LD50 of the fraction 1 of the Asiasari Radix appeared as 3,400 mg/kg.

Preparation Example 1. Tablets

Tablets are formulated by conventional manufacturing methods according to the following compositions.

1-1. Tablets composition

5	Methanol extract of Asiasari Radix	500.0 mg
	Lactose	500.0 mg
	Talc	5.0 mg
	Magnesium stearate	1.0 mg

1-2. Tablets composition

10	Chloroform fraction of methanol extract of Asiasari Radix	50.0 mg
	Lactose	50.0 mg
	Talc	0.5 mg
	Magnesium stearate	0.1 mg

1-3. Tablets composition

15	Methanol fraction of methanol extract of Asiasari Radix	50.0 mg
	Lactose	50.0 mg
	Talc	0.5 mg
	Magnesium stearate	0.1 mg

20 **Preparation Example 2. Capsules**

Capsules were prepared by the following methods according to the composition described below. Asiasari Radix extracts were sifted out and mixed with excipients and filled up in gelatin capsules.

2-1. Capsules composition

Methanol extract of Asiasari Radix	500.0 mg
Starch 1500	10.0 mg
Stearic acid magnesium BP	100.0 mg

2-2. Capsules composition

5	Chloroform fraction of methanol extract of Asiasari Radix	50.0 mg
	Starch 1500	1.0 mg
	Stearic acid magnesium BP	10.0 mg

2-3. Capsules composition

	Methanol fraction of methanol extract of Asiasari Radix	50.0 mg
10	Starch 1500	1.0 mg
	Stearic acid magnesium BP	10.0 mg

Preparation Example 3. Syrups

Syrups were formulated by the following methods according to the composition described below. First of all, sugars were dissolved in purified water and paraoxybenzoate, paraoxypropylbenzoate and Asiasari Radix extracts were added. The resulting mixtures were dissolved at 60C and allowed to cool down. Finally, purified water was added to make final volume of 150 ml.

3-1. Capsules composition

20	Methanol extract of Asiasari Radix	5.0 g
	Sugar	95.1 g
	Paraoxybenzoate	80.0 mg
	Paraoxypropylbenzoate	16.0 mg
	Purified water	to 150 ml

3-2. Capsules composition

	Chloroform fraction of methanol extract of Asiasari Radix	50.0 mg
	Sugar	95.1 g
	Paraoxybenzoate	80.0 mg
5	Paraoxypropylbenzoate	16.0 mg
	Purified water	to 150 ml

3-3. Capsules composition

	Methanol fraction of methanol extract of Asiasari Radix	50.0 mg
	Sugar	95.1 g
10	Paraoxybenzoate	80.0mg
	Paraoxypropylbenzoate	16.0 mg
	Purified water	to 150 ml

Preparation Example 4. Solutions

- 15 The following compositions are formulated by conventional methods for solutions and the solutions are filled up in brown bottles.

4-1. Solutions composition

	Methanol extract of Asiasari Radix	500.0 mg
	Isoglucose	20.0 g
20	Antioxidant	5.0 mg
	Methylparabenzoic acid	2.0 mg
	Distilled water to 100.0 ml	

4-2. Solutions composition

	Chloroform fraction of methanol extract of Asiasari Radix	500.0 mg
--	---	----------

Isoglucose	20.0 g
Antioxidant	5.0 mg
Methylparabenzoic acid	2.0 mg
Distilled water	to 100.0 ml

5 4-3. Solutions composition

Methanol fraction of methanol extract of Asiasari Radix	500.0 mg
Isoglucose	20.0 g
Antioxidant	5.0 mg
Methylparabenzoic acid	2.0 mg
Distilled water	to 100.0 ml

10

Preparation Example 5. Powders

Powders were formulated as follows: the following components are mixed by conventional manufacturing methods for powders, filled into paper bags and completely

15 sealed up.

5-1. Powders composition

Methanol extract of Asiasari Radix	50.0 mg
Lactose	100.0 mg
Talc	5.0 mg

20 5-2. Powders composition

Chloroform fraction of methanol extract of Asiasari Radix	50.0 mg
Lactose	100.0 mg
Talc	5.0 mg

5-3. Powders composition

Methanol fraction of methanol extract of Asiasari Radix	50.0 mg
Lactose	100.0 mg
Talc	5.0 mg

5 **Preparation Example 6. Injections**

Injections were formulated by the following conventional manufacturing methods for injections according to the composition described below. The prepared injections are filled up in ampules of 2.0 ml volume and sterilized.

6-1. Injections composition

10	Methanol extract of Asiasari Radix	50.0 mg
	Antioxidant	1.0 mg
	Tween 80	1.0 mg
	Distilled water for injections	to 2.0 ml

6-2. Injections composition

15	Chloroform fraction of methanol extract of Asiasari Radix	50.0 mg
	Antioxidant	1.0 mg
	Tween 80	1.0 mg
	Distilled water for injections	to 2.0 ml

6-3. Injections composition

20	Methanol fraction of methanol extract of Asiasari Radix	50.0 mg
	Antioxidant	1.0 mg
	Tween 80	1.0 mg
	Distilled water for injections	to 2.0 ml

Industrial Applicability

The composition containing Asiasari Radix extracts bring about not only prevention and treatment of neurodegenerative diseases caused by brain cell damages but also induction effect of memory improvement. It can be used as drugs and/or health foods for the prevention and treatment of neurodegenerative diseases via brain cell protection of the moderns who are being subjected to brain damages due to various kinds of stress, drinking, smoking, etc., as well as for the improvement of memory.

CLAIMS

1. A composition containing Asiasari Radix extracts for protecting brain cells and improving memory.
- 5 2. The composition of Claim 1 wherein content of the Asiasari Radix extracts ranges from 0.5 to 50 % by weight per total weight of the composition.
3. The composition of Claim 1 wherein the Asiasari Radix extracts are extracted with a lower alcohol containing between about 1 carbon atom and about 4 carbon atoms.
4. The composition of Claim 3 wherein the lower alcohol is selected from the
10 group consisting of methanol, ethanol, acetone, chloroform, methylenechloride, ether, ethylacetate, and combinations thereof.
5. The composition of Claim 1 wherein the composition further comprises carriers, excipients and diluents.
6. The composition of Claim 1 wherein the composition is formulated as oral
15 preparations.
7. The composition of Claim 6 wherein the oral preparations are powders, tablets, capsules, suspensions, syrups and aerosols.
8. The composition of Claim 1 wherein the composition is formulated as external applications.
- 20 9. The composition of Claim 1 wherein the composition is formulated as suppositories.
10. The composition of Claim 1 wherein the composition is formulated as sterile injections.
11. The composition of Claim 1, wherein said the Asiasari Radix extracts are

obtained by the following sequential fractionation procedure:

extracts of Asiasari Radix obtained according to the method described in the claims 3 are solubilized in a methanol:water mixed solvent, adjusted to pH 2-4 with acid and subjected to extraction with equal volume of chloroform;

5 the chloroform insoluble fraction is then adjusted to pH 9-12 with NH₄OH and subjected to extraction with equal volume of a chloroform:methanol mixed solvent; and

among these, the chloroform:methanol insoluble fraction is further extracted and fractionated with methanol to obtain the Asiasari Radix extracts which are methanol soluble.

10 12. A composition for improving memory containing a chloroform fraction of Asiasari Radix extracts obtained by the following sequential fractionation procedure:

Asiasari Radix is subjected to extraction with a lower alcohol having between 1 carbon atom and 4 carbon atoms such as methanol or ethanol, or organic solvent such as acetone, chloroform, methylene chloride, ether, or ethylacetate; and

15 the resulting Asiasari Radix extracts are solubilized in a methanol:water mixed solvent, adjusted to pH 2-4 with acid and subjected to extraction with equal volume of chloroform to obtain the chloroform fraction of Asiasari Radix extracts.

13. The composition for improving memory of Claim 12, wherein said the content of the fraction ranges from 0.5 to 50 % by weight per total weight of the composition.

20 14. The composition for improving memory of Claim 12, wherein said the composition contains carriers, excipients and diluents additionally.

15. The composition for improving memory of Claim 12, wherein said the composition is formulated as oral preparations containing powders, tablets, capsules, suspensions, syrups and aerosols; external applications; suppositories; and sterile

injections.

16. A food comprising the composition of Claim 1.
17. A drink comprising the composition of Claim 1.
18. A food comprising the composition of Claim 12.
- 5 19. A drink comprising the composition of Claim 12.

Fig. 1

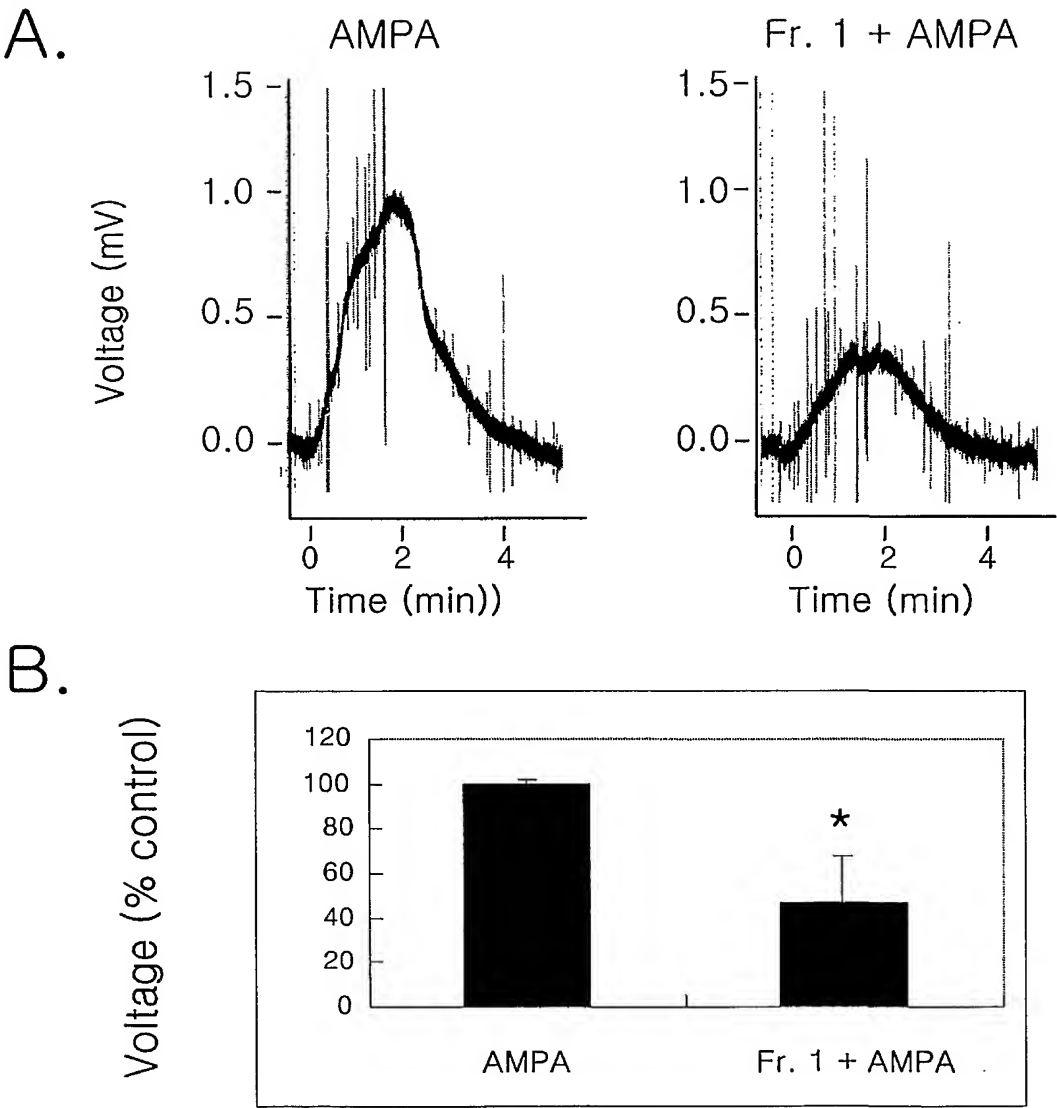


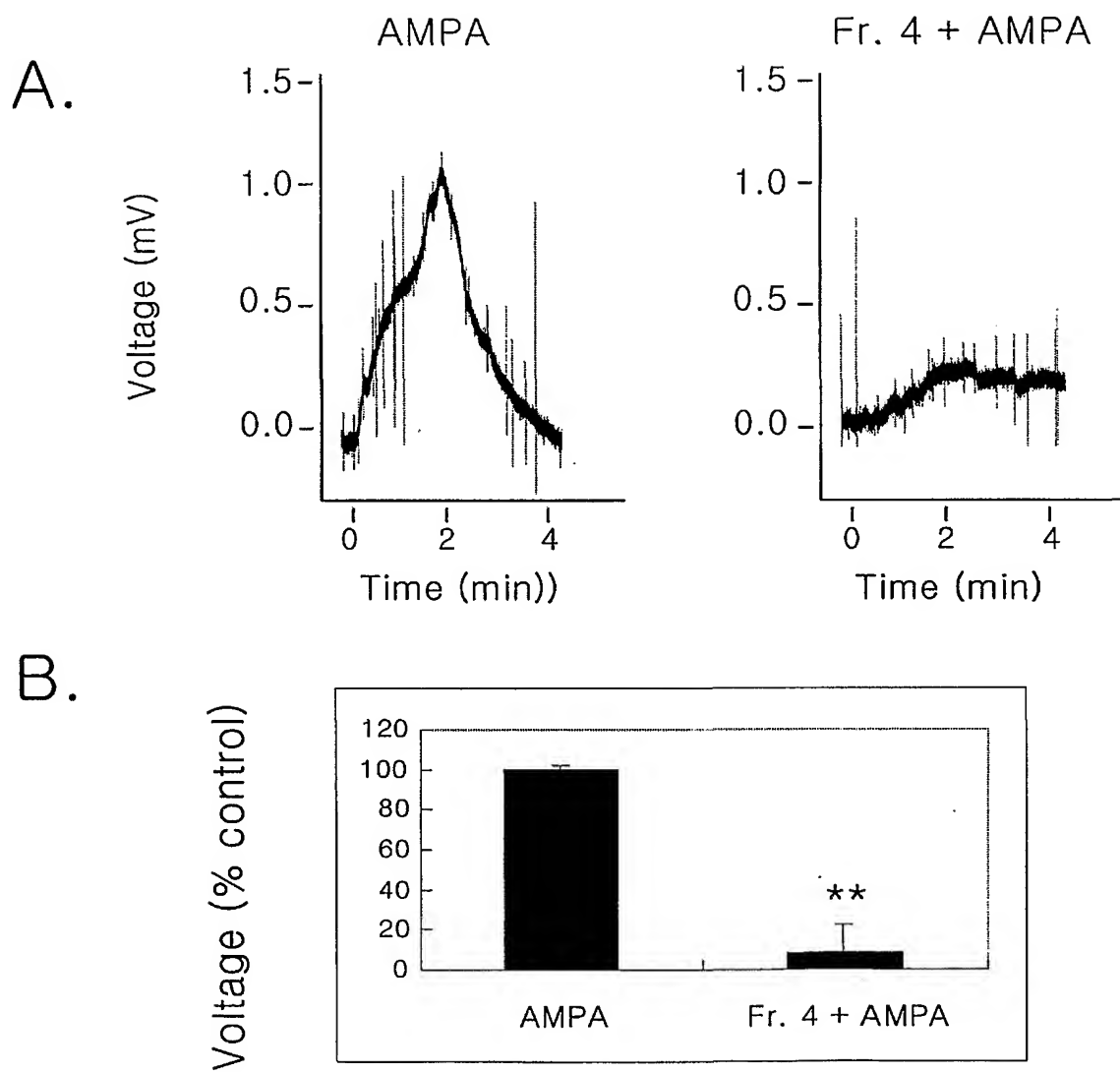
Fig. 2

Fig. 3

PC 12 cells

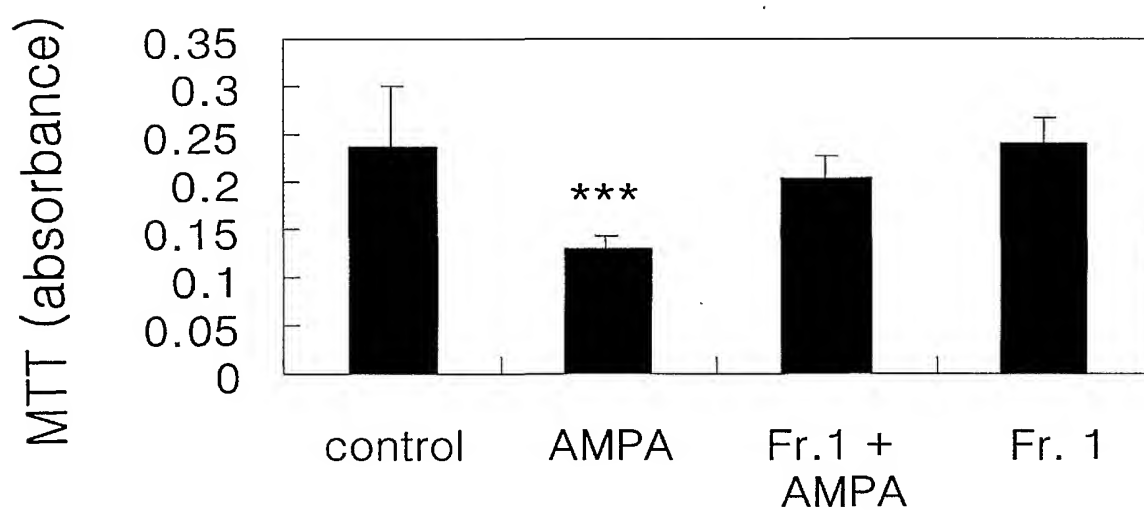


Fig. 4
PC 12 cells

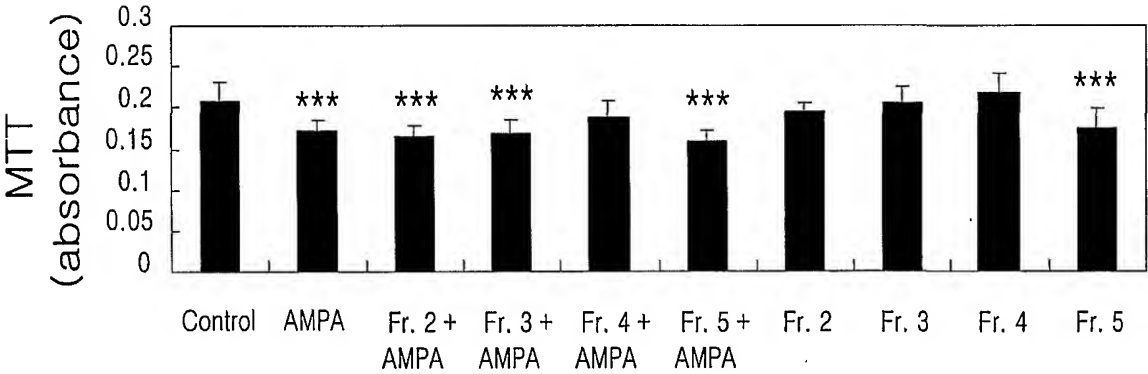


Fig. 5

C6 glial cells

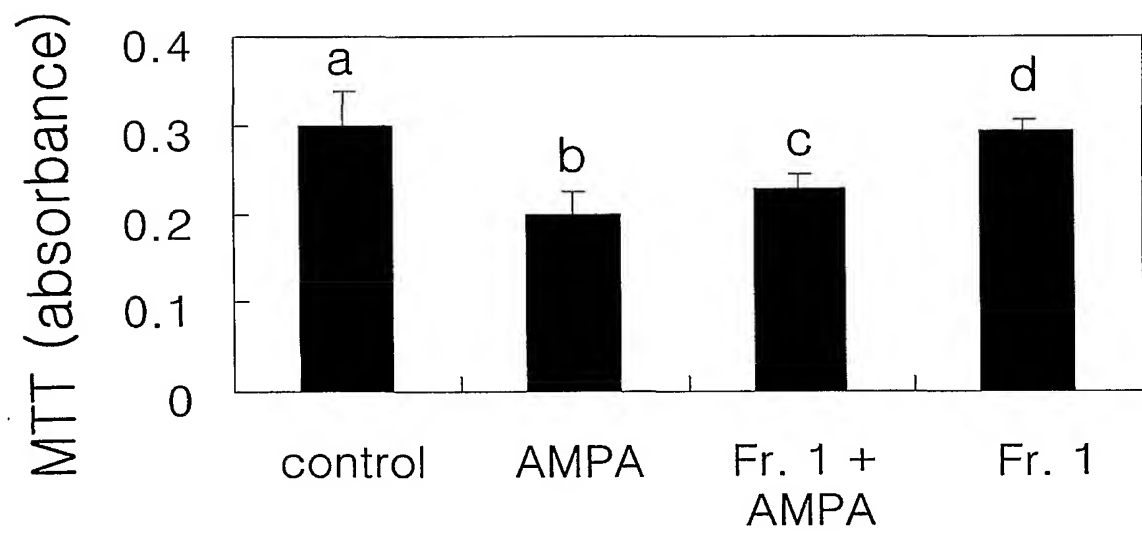


Fig. 6

C6 glial cells

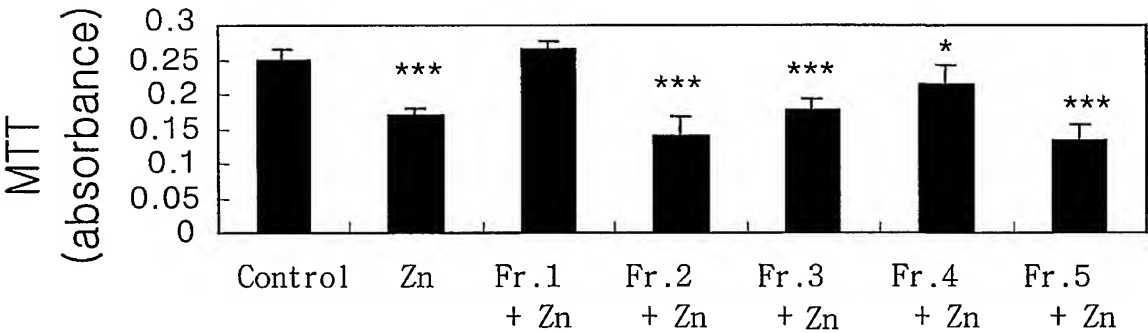


Fig. 7

NaNO₂ Memory Assay

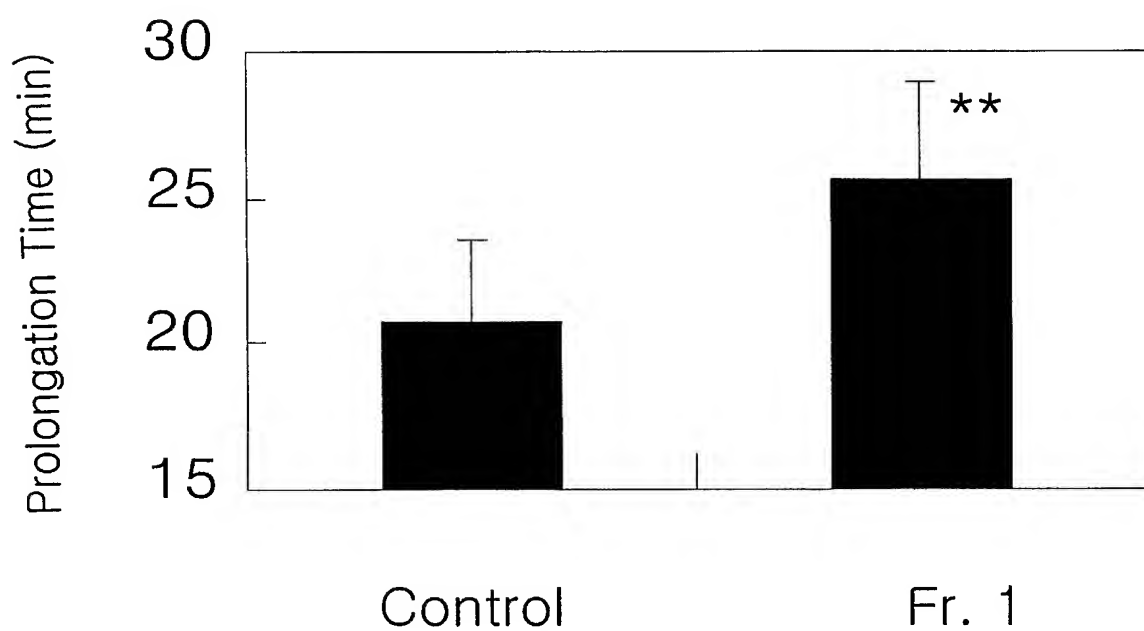


Fig. 8

NaNO₂ Memory Assay

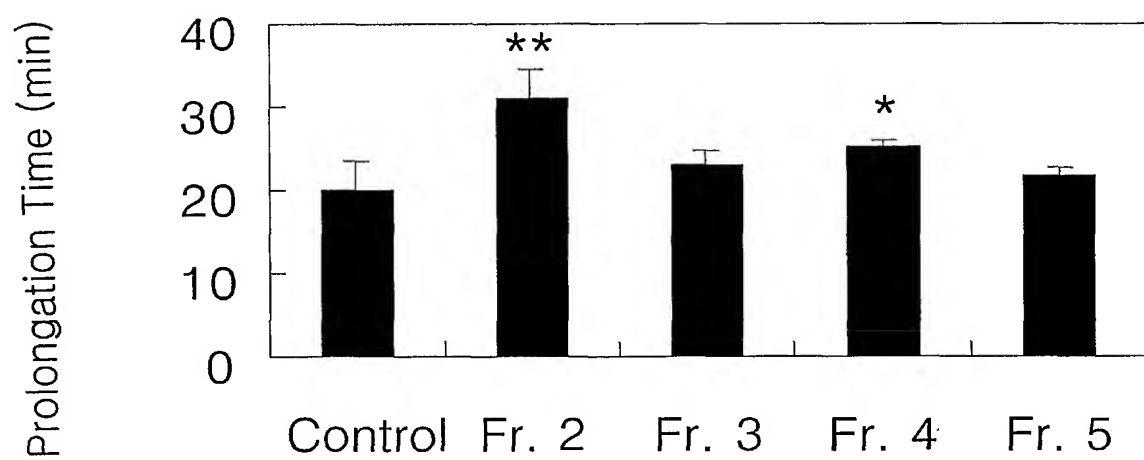


Fig. 9

NaNO₂ Memory Assay

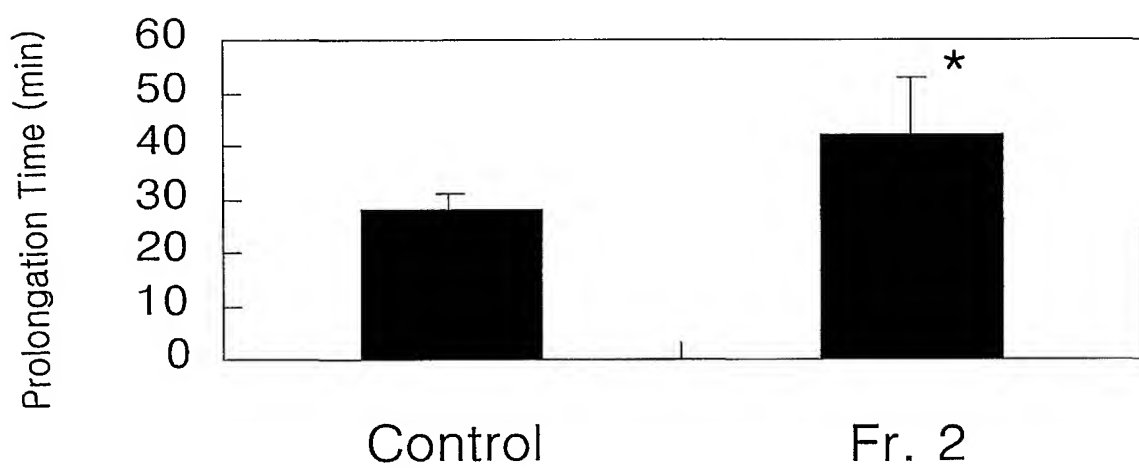


Fig. 10

8 Arm Radia Maze Test (treated for 5 days)

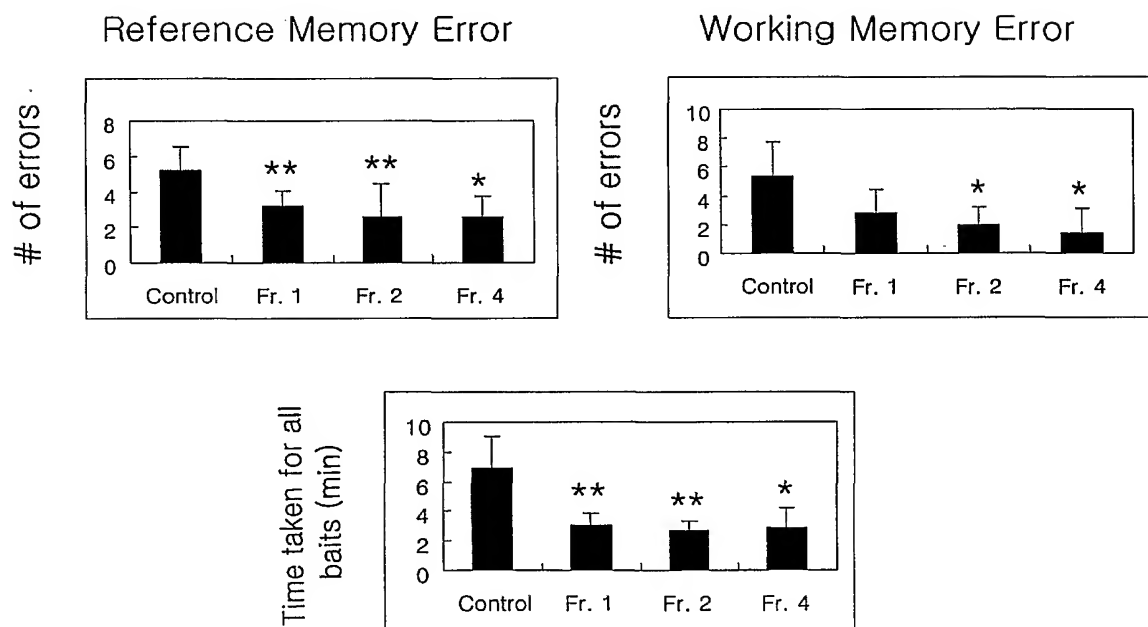


Fig. 11

Passive Avoidance Test

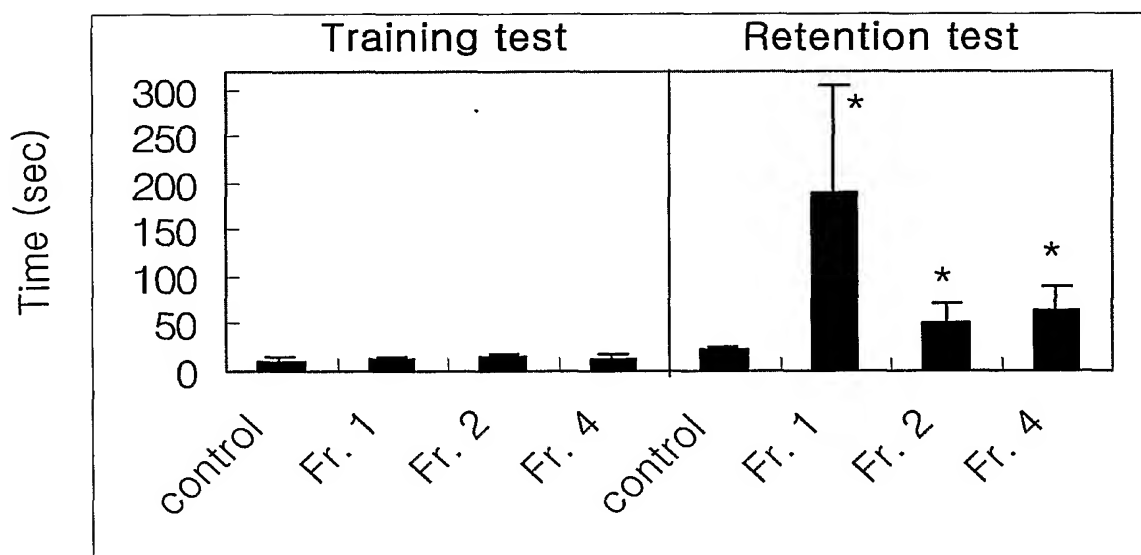


Fig. 12

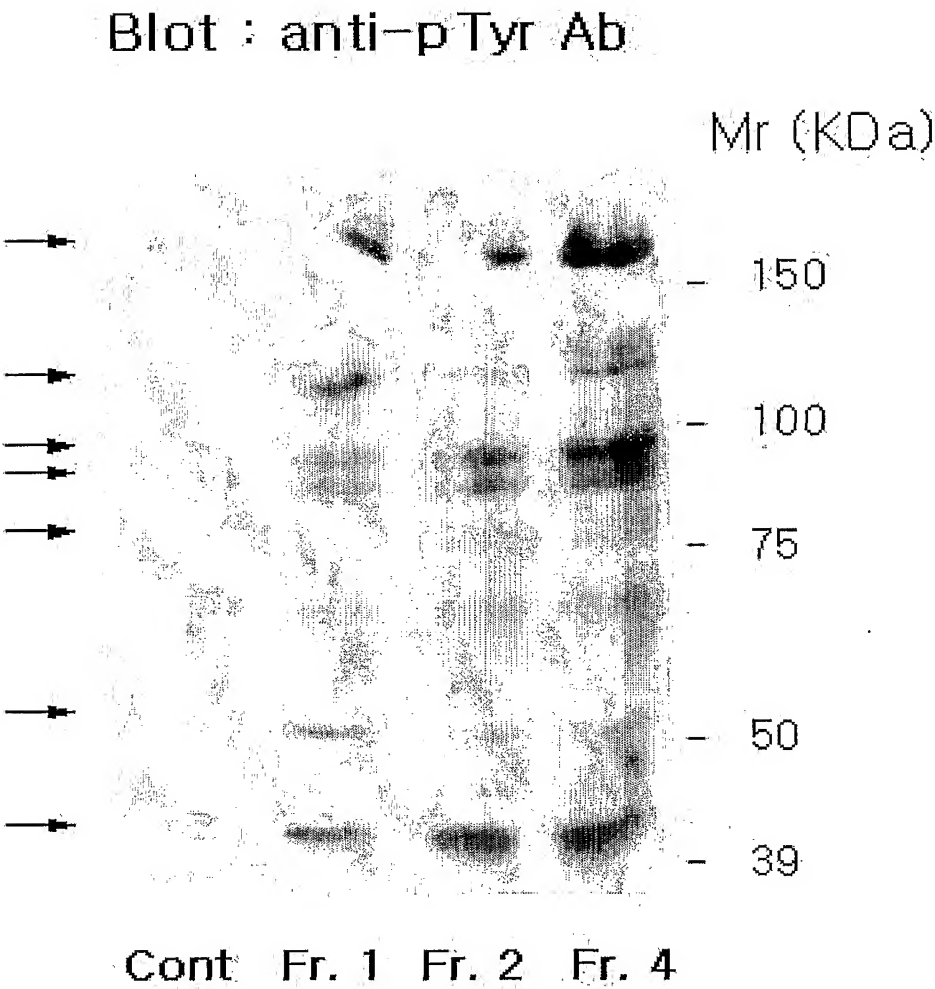
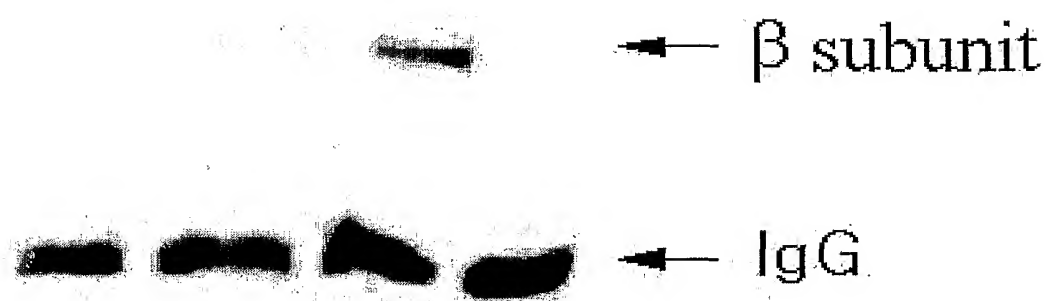


Fig. 13

IP : anti-IR Ab

Blot : anti-pTyr Ab



Cont Fr. 2 Fr. 1 Fr. 4

Fig. 14

Blot : anti-pERK Ab

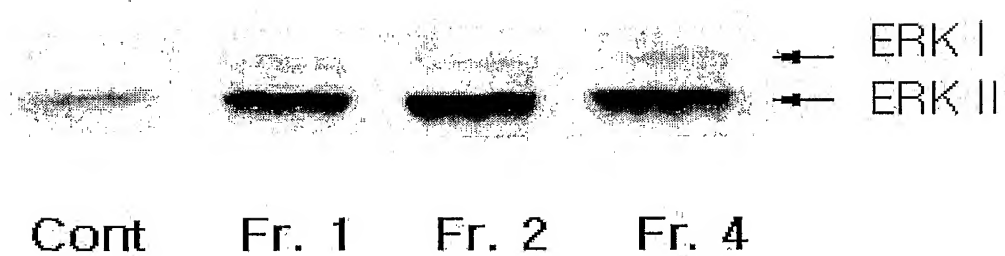
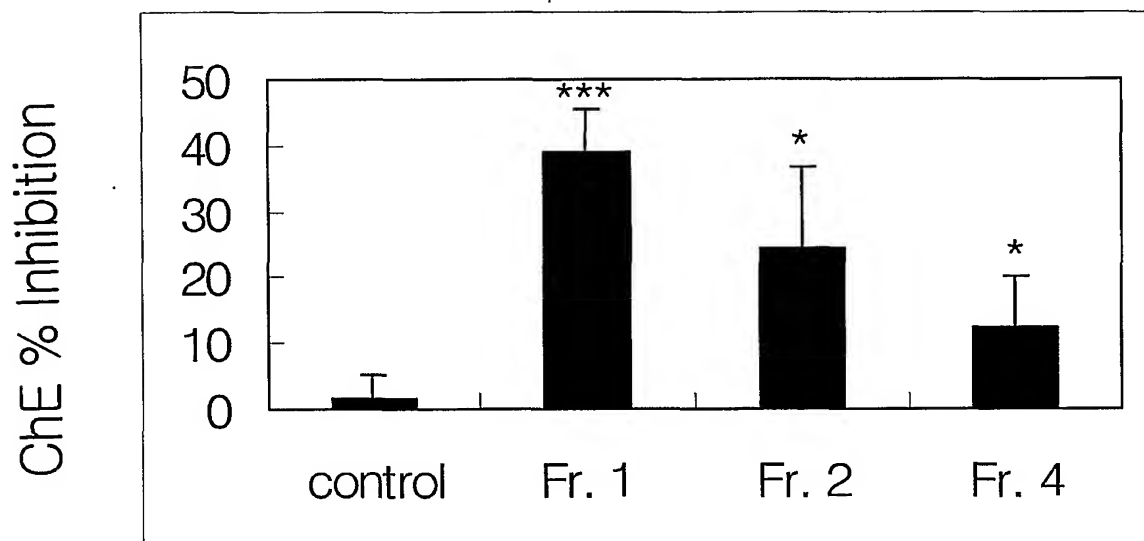


Fig. 15

INTERNATIONAL SEARCH REPORT

International application No.
PCT/KR02/00421**A. CLASSIFICATION OF SUBJECT MATTER****IPC7 A61K 35/78**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61K 35/78

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

STN on line, PUBMED on line

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JP 02-142723 A (TSUMURA. co.), 31 May 1990. see the whole document	1
A	JP 05-178754 A (TSUMURA. co.), 20 July 1993.	1
A	KIM, H. M. 'Asiasari radix inhibits immunoglobulin E production on experimental models in vitro and in vivo.' In: Immunopharmacol Immunotoxicol 1999 Aug.;21(3):469-481	1
A	KODA, AKIHIDE 'Antiallergic effect of chinese drugs' In: Nippon Yakurigaku Zasshi (1970), 66(3), 366-378	1

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family


Date of the actual completion of the international search

12 JULY 2002 (12.07.2002)

Date of mailing of the international search report

15 JULY 2002 (15.07.2002)

Name and mailing address of the ISA/KR


 Korean Intellectual Property Office
 920 Dunsan-dong, Seo-gu, Daejeon 302-701,
 Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

CHO, Hee Won

Telephone No. 82-42-481-5607



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/KR02/00421

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 02-142723 A	31/05/1990	none	
JP 05-178754 A	20/07/1993	none	